



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Alan J. Kirby, Jeffrey A. Kraemer, Ashok P. Nadkarni  
Assignee: Symantec Corporation  
Title: TRANSFERRING ENCRYPTED PACKETS OVER A PUBLIC NETWORK  
Serial No.: 08/963,512 Filed: November 3, 1997  
Patent No.: 5,898,784 Issue Date: April 27, 1999  
Docket No.: SYMC101101

Monterey, CA  
May 9, 2003

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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OFFICE OF PETITIONS

CALCULATION OF DEFICIENCY OWED AND ITEMIZATION OF DEFICIENCY  
PAYMENT UNDER 37 C.F.R. §1.28(c)

Dear Sir:

In accordance with the provisions of 37 C.F.R. §1.28(c)(2)(i) and §1.28(c)(2)(ii), the deficiency payment owed resulting from previous erroneous payment of small entity fees on the above referenced patent has been calculated and itemized as shown below:

05/14/2003 SLUANG 00000013 5898784

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450.00 OP

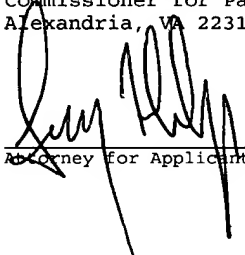
GUNNISON, McKAY &  
HODGSON, L.L.P.  
Garden West Office Plaza, Suite 220  
1900 Garden Road  
Monterey, CA 93940  
(831) 655-0880  
Fax (831) 655-0888

Type of Fee Erroneously Paid	Current Fee Amount (Other than Small Entity)	Previous Fee Erroneously Paid Amount	Deficiency Owed Amount
3½ Year Maintenance Fee	\$890.00	\$440.00 (Paid before 10/27/02)	\$450.00
TOTAL DEFICIENCY PAYMENT OWED			\$450.00

Applicants' attorney hereby certifies that, based on a review of the papers in the file of Applicants' attorney, the status as a small entity was established in good faith and all fee payments made as a small entity were made in good faith.

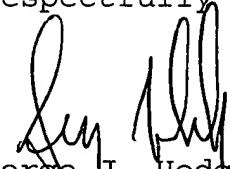
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, PO Box 1450,  
Alexandria, VA 22313-1450, on May 9, 2003.

  
Attorney for Applicants

May 9, 2003  
Date of Signature

Respectfully submitted,

  
Serge J. Hodgson  
Attorney for Applicants  
Reg. No. 40,017  
(831) 655-0880

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**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**  
**UNDER 37 C.F.R. §1.27(g)(2)**

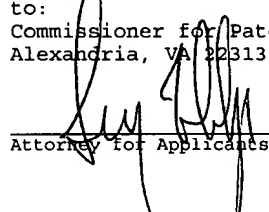
Dear Sir:

In the above referenced Patent, the status as a small entity, as defined in 37 C.F.R. §1.27(a), is no longer appropriate.

**CERTIFICATE OF MAILING**

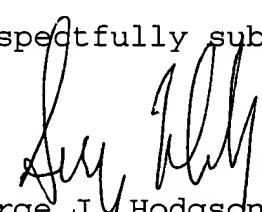
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\_\_\_\_\_  
Attorney for Applicants

May 9, 2003  
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GUNNISON, MCKAY & HODGSON, L.L.P.

GARDEN WEST OFFICE PLAZA, SUITE 220  
1900 GARDEN ROAD  
MONTEREY, CALIFORNIA 93940  
(831) 655-0880  
FACSIMILE (831) 655-0888

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TRANSMITTAL LETTER

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Dear Sir:

Transmitted herewith are the following documents for  
Payment of Deficiency owed under 37 C.F.R. §1.28(c):

1. Return Receipt Postcard;
2. Check in the amount of \$450.00 for Payment of Deficiency Owed;
3. Transmittal Letter (2 pages);
4. Calculation of Deficiency Owed and Itemization of Deficiency Payment under 37 C.F.R. § 1.28(c) (2 pages); and
5. Notification of Loss of Entitlement to Small Entity status under 37 C.F.R. §1.27(g)(2) (1 page).

Transmittal Letter  
Serial No. 08/963,512

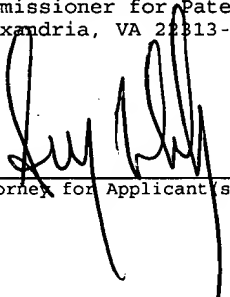
May 9, 2003

☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed documents after all papers filed with this transmittal have been considered, Applicants hereby petition for such an extension of time.

☒ The Commissioner is hereby authorized to charge any additional fees required for consideration of the enclosed documents, and to credit any overpayment of fees to Deposit Account No. 50-0553.

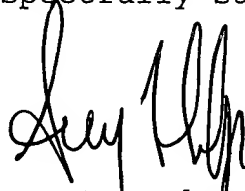
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Attorney for Applicant(s)

May 9, 2003  
Date of Signature

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